

Federal Financial Report

(Follow form Instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted <div style="border: 1px solid black; padding: 2px; min-height: 20px;">US Election Assistance Commission</div>		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <div style="border: 1px solid black; padding: 2px; min-height: 20px;">2018 101 Election Security</div>	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: <div style="border: 1px solid black; padding: 2px; width: 90%;">Arizona Department of State</div>			
Street1: <div style="border: 1px solid black; padding: 2px; width: 400px;">1700 W. Washington St.</div>			
Street2: <div style="border: 1px solid black; padding: 2px; width: 400px;">7th Floor</div>			
City: <div style="border: 1px solid black; padding: 2px; width: 250px;">Phoenix</div>		County: <div style="border: 1px solid black; padding: 2px; width: 200px;">Maricopa</div>	
State: <div style="border: 1px solid black; padding: 2px; width: 300px;">AZ: Arizona</div>		Province: <div style="border: 1px solid black; padding: 2px; width: 150px;"></div>	
Country: <div style="border: 1px solid black; padding: 2px; width: 300px;">USA: UNITED STATES</div>		ZIP / Postal Code: <div style="border: 1px solid black; padding: 2px; width: 150px; border: 2px solid red;">85007</div>	
4a. DUNS Number <div style="border: 1px solid black; padding: 2px; border: 2px solid red;">36-0861509</div>	4b. EIN <div style="border: 1px solid black; padding: 2px; width: 150px;">86-6004791</div>	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) <div style="border: 1px solid black; padding: 2px; width: 250px;">BFY19 - 2357S* & 34000S3100</div>	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	8. Project/Grant Period From: <div style="border: 1px solid black; padding: 2px; width: 80px;">03/30/2018</div> To: <div style="border: 1px solid black; padding: 2px; width: 80px;">03/22/2023</div>	9. Reporting Period End Date <div style="border: 1px solid black; padding: 2px; width: 150px; text-align: center;">09/30/2019</div>
10. Transactions			Cumulative
<i>(Use lines a-c for single or multiple grant reporting)</i>			
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			7,463,675.00
e. Federal share of expenditures			2,452,425.17
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			2,452,425.17
h. Unobligated balance of Federal Funds (line d minus g)			5,011,249.83
Recipient Share:			
i. Total recipient share required			373,183.75
j. Recipient share of expenditures			0.00
k. Remaining recipient share to be provided (line i minus j)			373,183.75
Program Income:			
l. Total Federal program income earned			213,817.28
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			213,817.28

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
g. Totals:				<input type="text"/>	<input type="text"/>	<input type="text"/>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
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13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: <input type="text" value="Ms."/>	First Name: <input type="text" value="Sarah"/>	Middle Name: <input type="text"/>
Last Name: <input type="text" value="Schnupp"/>		Suffix: <input type="text"/>
Title: <input type="text" value="Chief Financial Officer"/>		

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:

Arizona's 2019 HAVA Election Security Grant Narrative Report Federal Fiscal Year 2019

The State of Arizona was awarded \$7,463,675 under the 2018 HAVA Election Security Grant program to be expended in accordance with the requirements of Title I Section 101 of the Help America Vote Act (HAVA) of 2002 (P.L. 107-252). The State will continue using the grant funds for authorized expenditures as outlined in HAVA to:

1. Replace voting equipment that only records a voter's intent electronically with equipment that utilizes a voter verified paper record;
2. Implement a post-election audit system that provides a high level of confidence in the accuracy of the final vote tally;
3. Upgrade election related computer systems to address cyber vulnerabilities identified through Department of Homeland Security, or similar scans or assessments of, existing election systems;
4. Facilitate cybersecurity training for the state chief election official's office and local election officials;
5. Implement established cybersecurity best practices for election systems; and
6. Fund other activities that will improve the security of elections for Federal office.

For the reporting period October 1, 2018 – September 30, 2019, the State expended funds provided under this grant for the following:

- \$2,389,970 for the previous and current Statewide Voter Registration Systems.
- \$62,456 for other HAVA related projects and personnel costs for employees overseeing the Statewide Voter Registration System project and the HAVA coordinator for the agency.

The Arizona Secretary of State's Office (SOS) continues its commitment to ensure election security, integrity and transparency. The SOS has worked diligently to make sure all HAVA expenditures meet federal guidelines. In June 2019, the SOS received approval for its HAVA spending plan from the State's Joint Legislative Budget Committee (JLBC) to allocate funding to our 15 counties through sub-grants and for security fortification and training, the voter registration system and communications to educate voters and promote election information. The SOS will continue working with JLBC for the appropriation of the State's HAVA match.

Continuously, the SOS administration is working on: (1) providing sub-grants to the counties to address their elections security needs, as they apply for funding; (2) implementing the State's elections security plan and working with the individual counties to provide security fortification assessments to address their needs; and (3) ensuring the protection of voters' information through the State's new voter registration system.

Instructions: In column C, please enter the total 2019 federal + federal interest expenditures in each listed budget category. Do not include any state expenditures.

Budget Category	Amount Expended 2018	Amount Expended 2019	Cumulative Total
Voting Equipment	\$0	\$0	\$0
Election Auditing	\$0	\$0	\$0
Voter Registration Systems	\$576,042	\$1,813,928	\$2,389,970
Cyber Security	\$0	\$0	\$0
Communication	\$0	\$0	\$0
Other	\$69,945	-\$7,489	\$62,456
Other	\$0	\$0	\$0
Total	\$645,987	\$1,806,438	\$2,452,425